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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/498,261	02/03/2000	Nicholas J. Mankovich	US000036	8558

24737 7590 09/03/2003

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
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BRIARCLIFF MANOR, NY 10510

EXAMINER

ABDI, KAMBIZ

ART UNIT	PAPER NUMBER
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3621

DATE MAILED: 09/03/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/498,261

Applicant(s)

MANKOVICH ET AL.

Examiner

Kambiz Abdi

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 30 May 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 and 15-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11 and 15-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. The text of those sections of Title 35, U.S. Code not included in this section can be found in the prior office action.

2. The prior office action is incorporated herein by reference. In particular, the observations with respect to claim language, and response to previously presented arguments.

- Claims 1, 7, and 15 are amended.
- Claims 12 and 14 have been canceled.
- Claims 1-11 and 15-20 are pending.

Response to Arguments

3. Applicant's arguments filed on 30 May 2003, with respect to claims 1-11 and 15-20 have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) The invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

5. Claims 1-2, 4-9, 11-16, and 19-20 are rejected under 35 U.S.C. 102 (e) being anticipated by U.S. Patent No. 5,991,737 to Humphrey D. Chen.

1. As per claims 1, 7, and 15, Chen discloses a receiving system comprising:
- a content access device that is configured to receive content material simultaneously with an item identifier associated with the content material from a provider (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14), and

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- a purchase request processor, operably coupled to the content access device and an input device, that is configured to receive a purchase request from the input device and the item identifier directly from the content access device, and produces therefrom a processed purchase request (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14),
- a rendering device, operably coupled to the content access device, that is configured to render the content material (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14),
- the input device including a purchase request buffer for storing at least one purchase request and the item identifier to facilitate a purchase of an item corresponding to the item identifier subsequent to the rendering device rendering the content material (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14), and
- wherein the content access device is further configured to communicate the processed purchase request to the provider (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14).

6. As per claims 2, 4-6, 8, 9, 11, and 16, Chen clearly discloses all the limitations of claim 1, further; Chen discloses,

- wherein the content access device is further configured to associate the purchase request and the item identifier based on a coincidence of a time of receipt of the purchase request and a time interval associated with the rendering of the content material (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14).
- the purchase request processor is further configured to receive a transferred purchase request and a transferred item identifier, and to produce there from the processed purchase request.

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- the purchase request processor is further configured to receive certification information associated with the purchase request (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14), and
- wherein the processed purchase request includes the certification information (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14).
- a “buy” switch (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14), and
- wherein the purchase request from the input device is produced in response to an activation of the “buy” switch (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14).

7. As per claim 19, Chen clearly discloses all the limitations of claim 15, further;

Chen discloses,

- transferring the purchase request to one or more intermediary devices (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14), and
- wherein communicating the purchase request to the provider is via the one or more intermediary devices (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14).

8. As per claim 20, Chen clearly discloses all the limitations of claim 15, further;

Chen discloses,

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- further including attaching certification information to the purchase request that is communicated to the provider (See Chen abstract, column 1, lines 45-68, column 2, lines 1-30, column 3, lines 7-20, column 5, lines 23-68, and column 6, lines 1-14).

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 3, and 10 rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,991,737 to Humphrey D. Chen as applied to claims 1, 7, and 15 above, and further in view of John R. Anderson, Patent No. 5,991,601.

4. As per claims 3 and 10, Chen discloses all the limitations of claims 1 and 7 as discussed above. What Chen does not explicitly teach is the system to store content within a memory before access rights have been granted. However, Anderson clearly teaches a system and method for identification of a digital content based on a broadcast. Anderson teaches how to access a system to obtain such a digital item before usage right has been granted and store this digital content in a local memory (See Anderson column 8, lines 43-68 and column 9, lines 1-10 and 25-36).

5. Accordingly, it would have been obvious to one having ordinary skill in the art at the time the current invention was made to simply provide content to the users within the local memory before the usage rights or authorization has been granted. One good example of this kind digital content would be the software items. Traditionally software is delivered through a medium such as floppy disks, CD-ROMs, Magnetic Tapes, or via the Internet. There are many software vendors that include the entire application or the game or any other content within the first delivery of content but limit the usage to either a limited

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time period or just a limited version of the application. Once the purchase process has been completed and an authorization has been received the entire digital content becomes available to the consumer.

6. Claims 17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,991,737 to Humphrey D. Chen as applied to claims 1, 7, and 15 above, and further in view of John R. Anderson, Patent No. 5,991,601 and Roy J. Mankovitz, Patent No. 5,949,492.

7. As for claims 17 and 18 Chen discloses all the limitations of claim 15 as discussed above. What Chen does not explicitly teach is the system to store content within a memory before access rights have been granted. Additionally, Chen does not explicitly teach the relationship between content identification and the time interval in conjunction with the rendering of the material. However, Anderson clearly teaches a system and method for identification of a digital content based on a broadcast. Anderson teaches how to access a system to obtain such a digital item before usage right has been granted and store this digital content in a local memory (See Anderson column 8, lines 43-68 and column 9, lines 1-10 and 25-36). The same argument of motivation can be stated as it has been discussed in the above claim.

8. In addition Mankovitz explicitly teaches a system for identification of the rendered material based on function of time in relation to the station that broadcasts the material (See Mankovitz column 2, lines 60-68 and column 3, lines 1-58). Identification of rendered material through a simultaneous broadcast of item identification along with the rendered material or usage of time, date, station call name combination or any combination thereof is a well known in the art and all aspects of these methods have been discussed in the above mentioned patents. Accordingly, it would have been obvious to one having ordinary skill in the art at the time the current invention was made to incorporate a method of identifying a broadcast material based on relative information such as time, date, station call id in conjunction with other identifiable information from the broadcasting program.

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Conclusion

The prior art made of record and not relied upon at this point and is considered highly pertinent to applicant's disclosure U.S. Patent application to:

- Japanese Patent No. 10-1355855, to Kondo Tetsuji Tsukasa, Information Reception Device and Information Signal Transmission Device and Information Transaction Method.
- Published PCT WO 01/57759, to Jeffery S. Miushkin, System and Method for Obtaining Impulse Transaction data.
- European Patent Application no. 95307885.4, to Katherine G. August, System and Method for Wireless Capture of Encoded Data Transmitted with a Television, Video, or Audio Signal and Subsequent Initiation of a Transaction Using such data.

Examiner has pointed out particular references contained in the prior arts of record in the body of this action for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant, in preparing the response, to consider fully the entire references as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior arts or disclosed by the examiner.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kambiz Abdi whose telephone number is (703) 305-3364. The examiner can normally be reached on 9:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James P. Trammell can be reached on (703) 305-9768.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Receptionist whose telephone number is (703)308-1113.

Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks
Washington D.C. 20231**

or faxed to:

(703) 872-9306 [Official communications; including After Final communications labeled "Box AF"]

(703) 746-7749 [Informal/Draft communications, labeled "PROPOSED" or "DRAFT"]

Hand delivered responses should be brought to:

**Crystal Park 5, 2451 Crystal Drive
7th floor receptionist, Arlington, VA, 22202**

Abdi/K

August 20, 2003



**JAMES P. TRAMMELL
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600**